

î

0410 2600

Receipt #19

PATENT

PATENT

450110-03697

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Vincent Carl HARRADINE et al.

Serial No.

10/005,603

Filed

December 4, 2001

For

AUDIO/VIDEO REPRODUCING APPARATUS AND METHOD

Art Unit

2613

745 Fifth Avenue New York, New York 10151 Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on March 8, 2002

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or Registered Representative

Signature

March 8, 2002

March 8, 2002 Date of Signature RECEIVED

MAY 0 6 2002

Technology Center 2600

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division Customer Correction Branch Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued in the above-identified application.

Under Applicant(s):

Please correct the spelling of the fifth applicant's name from "MARK JOHN MCGARTH" to --MARK JOHN MCGRATH--.

PATENT 450110-03697

The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicants

Bv.

Glenn F. Savit Reg. No. 37,437

Tel. (212) 588-0800

Enclosure



JULTES STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

72

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

10/005,603

12/04/2001

2613

2180

450140-/-(10: 1 03697

9

& HAUG, LLP

CONFIRMATION NO. 9751

FILING RECEIPT

OC00000007287275

FROMMER LAWRENCE & HAUG LLP 745 FIFTH AVENUE NEW YORK, NY 10151

Date Mailed: 01/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

H^cGrath

Vincent Carl Harradine, Burlington, CANADA;
Alan Turner, Basingstoke, UNITED KINGDOM;
Morgan William Amos David, Farnham, UNITED KINGDOM;
Michael Williams, Basingstoke, UNITED KINGDOM;
Mark John McGarth, Bracknell, UNITED KINGDOM;
Andrew Kydd, Basingstoke, UNITED KINGDOM;

Jonathan Thorpe, Winchester, UNITED KINGDOM;

RECEIVED

MAY 0 6 2002

Technology Center 2600

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/GB01/01452 03/30/2001

Foreign Applications

UNITED KINGDOM 0008429.3 04/05/2000 UNITED KINGDOM 0008432.7 04/05/2000 UNITED KINGDOM 0008434.3 04/05/2000

LOCKETED

If Required, Foreign Filing License Granted 01/09/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Audio/video reproducing apparatus and method

Preliminary Class

348

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

DEC TION FOR PATENT APPLICATION (JOINT OR SOLE) (Under 37 CFR § 1.63; with Power of Attorney)

FROMMER LAWRENCE & HAUG LLP FLH File No. 450110-03697

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, by I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and init inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

| The invention ENTIT | AUDIO/VIDEO PEDE | | | |
|---|---|---|--|---|
| | | RODUCING APPA | RATUS AND METHO | |
| e specification of which | | TO ALLE | STATOS AND WEITO | |
| is attached heret | | | | |
| X was filed on | 30 March 2001 | as Internation | al Annlication Serial | No. DOT (DOGS (DA.) |
| th amendment(s) through | | | 121 120 1101 1201 120 | NO. PL1/GBU1/01452 |
| I acknowledge the durbe material to patentabilif I hereby claim forcig patent or inventor's certi entor's certificate having Prior Foreign Applia Number: 0008429.3 | y to disclose to the U ty as defined in Title n priority benefits un fficate listed below an a filing date before n cation(s) Country: U.K. | nited States Pate 37, Code of Fede der Title 35, United and have also iden that of the appli ional application | ent and Trademark Offical Regulations, Sec. ited States Code, § 11 atified below any fore cation on which prior is on separate page]: d (Day/Month/Year): | ce all information known to me 1.56. 9 of any foreign application(s ign application for patent or |
| be | I hereby state that I claims, as amended by any I acknowledge the dute material to patentabilif I hereby claim foreignatent or inventor's certificate having Prior Foreign Applimumber: | I hereby state that I have reviewed and uncolaims, as amended by any amendment referred to I acknowledge the duty to disclose to the U material to patentability as defined in Title I hereby claim foreign priority benefits uncolatent or inventor's certificate listed below and into certificate having a filing date before the prior Foreign Application(s) Number: 0008429.3 List addit U.K. | I hereby state that I have reviewed and understand the cont claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the United States Pate material to patentability as defined in Title 37, Code of Fede I hereby claim foreign priority benefits under Title 35, Underent or inventor's certificate listed below and have also idered and inventor's certificate listed below and have also idered and prior Foreign Application(s) Number: Country: Country: Country: Country: Country: U.K. | I hereby state that I have reviewed and understand the contents of the above-ider claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the United States Patent and Trademark Offit material to patentability as defined in Title 37, Code of Federal Regulations, Sec. I hereby claim foreign priority benefits under Title 35, United States Code, § 11 hereby claim foreign priority benefits under Title 35, United States Code, § 11 hereby claim foreign date below and have also identified below any fore certificate having a filing date before that of the application on which prior Prior Foreign Application(s) Number: Country: Country: Filed (Day/Month/Year): Country: Filed (Day/Month/Year): Sapril 2000 |

30 March 2001 I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) (isted below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 12, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) [list additional applications on separate page]:

U.K.

U.K.

PCT

Appln. Ser. Number: Filed (Day/Month/Year):

Status (patented, pending, abandoned):

X

I hereby appoint <u>WILLIAM S. FROMMER</u>, Registration No. <u>25,506</u>, and <u>DENNIS M. SMID</u>, Registration No. <u>36,930</u> or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence

WILLIAM S. FROMMER C/O FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue New York, New York 10151

0008434.3

PCT/GB01/01452

Direct all telephone calls to: (212) 388-0800 to the attention of: WILLIAM S. FROMMER

5 April 2000

5 April 2000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application

| INVENTOR(S): Signature: Full page of role on first from | | Date: 18/2/02 |
|---|---|----------------|
| Full name of sole or first inventor: Residence: Citizenship: Signature: | Vincent Carl HARRADINE Burlington, Ontario, Canada | pate: |
| Full name of 2nd joint inventor (if any): | AT an TURNER | Date: 19/12/01 |
| Citizenship: | Basingstoke, Hampshire, U.K. U.K. | |
| Signature: Full name of 3rd joint inventor (if any): Residence: Citizenship: | Morgan William DAVID Farnham, Surrey, U.K. U.K. | Date: |

[Similarly list additional inventors on separate page] Post Office Address(es) of inventor(s): [if all inventors have the same post office address]

SONY UNITED KINGDOM LIMITED The Heights, Brooklands Weybridge K713 DXW, England, United Kingdom

Note: A post office address must be provided for each inventor.

LONAL INVENTORS FLH File No. 450110-03697 19/12/01 Signature: . Date: . Full name of 4th joint inventor (if any): Michael WILLIAMS Residence: Citizenship: Basingstoke, Hampshire, U.K. Signature: . Date: Full name of 5th joint inventor (if any): Mark John McGRATH Residence: Bracknell, Berkshire, U.K. Citizenship: 20/12/07 Signature: . Full name of 6th joint inventor (if any):
Residence:
Citizenship: Date: Andrew KYDD Basingstoke, Hampshire, U.K. U.K. Date: . Jonathan THORPE Residence:

Winchester, Hampshire, U.K.

U.K.

Citizenship: